



# Formulas Online

## [How to View Submission Statuses in Formulas Online \(Industry Members\)](#)

You may view the [status](#) of your submissions in Formulas Online through the [My Submissions home page](#). You may also view the [status](#) of your submissions by performing a [quick search](#) or [advanced search](#).

### My Submissions Home Page

The My Submissions home page is the default page displayed upon a successful login. You may also select the [Home](#) link in the main navigation banner to access the page at any time. The My Submissions home page displays a list of your open submissions and their statuses. Figure 1 details the My Submissions home page.

**Figure 1: Home Page (My Submissions)**

**TTBONLINE.gov**  
 ALCOHOL AND TOBACCO TAX AND TRADE BUREAU  
 U.S. DEPARTMENT OF THE TREASURY

Formulas Online

COLAS Home Text Menu My Profile Help Contact Us Log Off

New Modify Registration View
Submissions
Search
Advanced Search

### My Submissions

Show  entries

Showing 1 to 9 of 9 entries

Filter:

Previous  Next

SUBMISSION ID	TTB FORMULA ID	COMPANY FORMULA#	TYPE	PAPER	SUBMISSION STATUS	SUBMISSION DATE	SUBMITTER	PRODUCT
1367575	1290522	POMPURE - 55555555	Drawback	N	Lab Analysis	03/27/2015	Jane Smith	POM
1366203	-	-	Rider	Y	Assignment Pending	08/22/2013	Jane Smith	POM MANGO EXTRACT
1366202	1289931	POMPURE - 23456	Drawback	Y	Lab Analysis	08/22/2013	Jane Smith	POM POMEGRANATE EXTRACT
1366201	1289930	POMPURE - POM PURE EXTRACTS	SDA	Y	Assignment Pending	08/22/2013	Jane Smith	POM PETRO
1366200	1289929	OH-W-999 - 34567	Uniform	Y	Assigned	08/22/2013	Jane Smith	POM WINE
1366021	1289846	OH-W-999 - 222222	Uniform	N	Items Pending	04/01/2013	Jane Smith	
1002006	-	-	Uniform	N	Draft		-	
1002004	-	-	Uniform	N	Draft		-	
1001461	OH-W-999 - 1234	-	Uniform	N	Draft		-	

Showing 1 to 9 of 9 entries Previous  Next

We collect this information to verify your compliance with Federal laws and regulations that TTB administers. The information collected on this form must be considered confidential tax information under 26 U.S.C. 6103, and must not be disclosed to any unauthorized party under 26 U.S.C. 7213.

The [statuses](#) display next to the submissions in the Submission Status column.

## How to View Submission Statuses in Formulas Online (Industry Members)

### Quick Search for Submissions

► **Note:** You may search for submissions in the Formulas Online system for which you have the privilege to access.

Follow these steps to perform a quick search for submission and view their statuses:

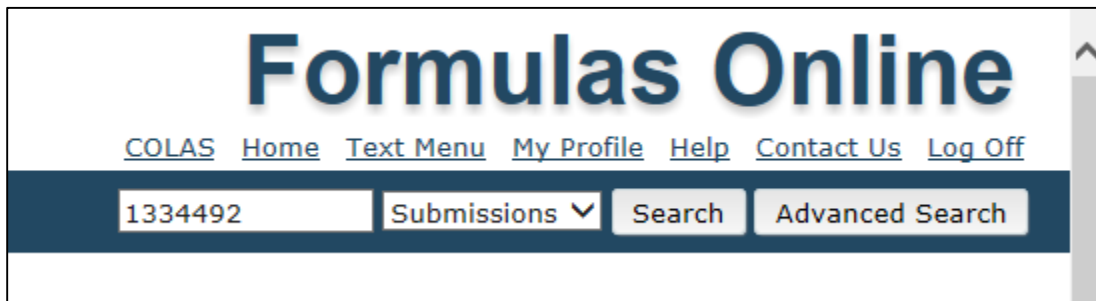
1. Enter the Submission ID in the search text field.

► **Note:** Enter a minimum of 4 numbers of the Submission ID followed by the “%” special character to perform a wildcard search.

2. Select **Submissions** from the search drop-down list. See Figure 2.

► **Note:** By default, **Submissions** will be selected.

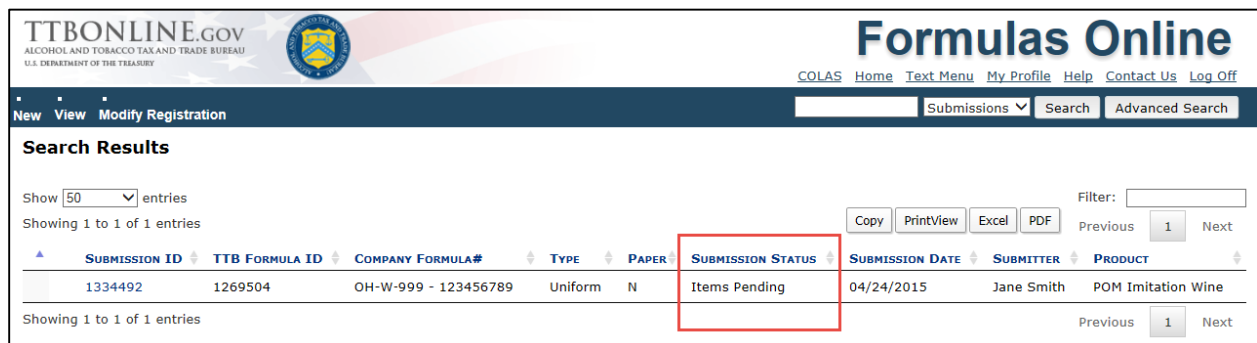
**Figure 2: Search for Submissions – Enter Search Criteria**



The screenshot shows the top navigation bar of the Formulas Online system. The main heading is "Formulas Online" in large blue font. Below it are navigation links: COLAS, Home, Text Menu, My Profile, Help, Contact Us, and Log Off. A search bar is present with the text "1334492" entered. To the right of the search bar is a dropdown menu currently set to "Submissions", and two buttons labeled "Search" and "Advanced Search".

3. Select the **Search** button. The search results display. See Figure 3.

**Figure 3: Search for Submissions – Search Results**



The screenshot shows the search results page. At the top, there is a header with "TTBONLINE.gov" and "ALCOHOL AND TOBACCO TAX AND TRADE BUREAU U.S. DEPARTMENT OF THE TREASURY". The main heading is "Formulas Online". Below the header are navigation links: COLAS, Home, Text Menu, My Profile, Help, Contact Us, and Log Off. A search bar is present with the text "1334492" entered. To the right of the search bar is a dropdown menu currently set to "Submissions", and two buttons labeled "Search" and "Advanced Search".

**Search Results**

Show  entries  
Showing 1 to 1 of 1 entries

Filter:

Copy PrintView Excel PDF Previous 1 Next

SUBMISSION ID	TTB FORMULA ID	COMPANY FORMULA#	TYPE	PAPER	SUBMISSION STATUS	SUBMISSION DATE	SUBMITTER	PRODUCT
1334492	1269504	OH-W-999 - 123456789	Uniform	N	Items Pending	04/24/2015	Jane Smith	POM Imitation Wine

Showing 1 to 1 of 1 entries

Previous 1 Next

The [statuses](#) display next to the submissions in the Submission Status column.

## How to View Submission Statuses in Formulas Online (Industry Members)

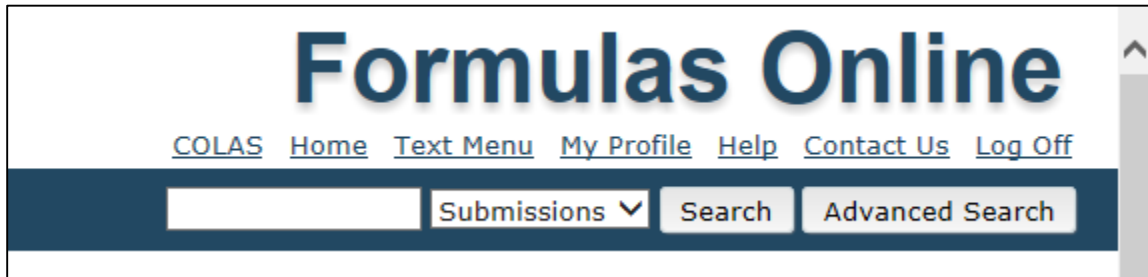
### Advanced Search for Submissions

► **Note:** You may search for submissions/formulas in the Formulas Online system for which you have the privilege to access.

Follow these steps to perform an advanced search for submissions and view their statuses:

1. Select the [Advanced Search](#) link next to the **Search** button. See Figure 4.

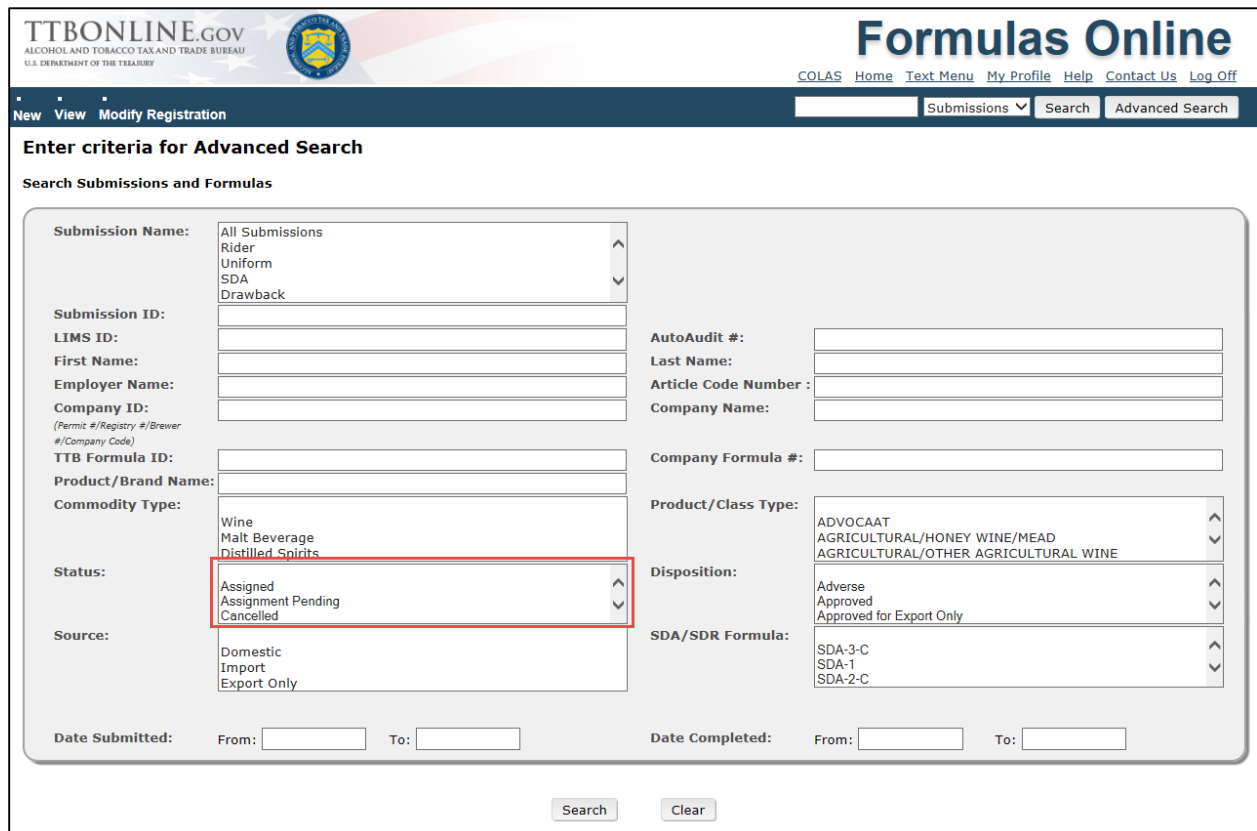
**Figure 4: Search for Submissions/Formulas – Advanced Search**



The screenshot shows the top navigation bar of the Formulas Online system. It features the title "Formulas Online" in a large, bold, blue font. Below the title are several navigation links: "COLAS", "Home", "Text Menu", "My Profile", "Help", "Contact Us", and "Log Off". At the bottom of the navigation bar, there is a search area with a text input field, a dropdown menu labeled "Submissions", and two buttons: "Search" and "Advanced Search".

The Search Submissions and Formulas page displays. See Figure 5.

**Figure 5: Search for Submissions/Formulas – Search Submissions and Formulas**



The screenshot displays the "Search Submissions and Formulas" page. The page header includes the TTB logo and the text "TTBONLINE.GOV ALCOHOL AND TOBACCO TAX AND TRADE BUREAU U.S. DEPARTMENT OF THE TREASURY". The main title "Formulas Online" is prominently displayed. Below the header, there are navigation links: "COLAS", "Home", "Text Menu", "My Profile", "Help", "Contact Us", and "Log Off". The page content is organized into a search form with the following fields:

- Submission Name:** A dropdown menu with options: "All Submissions", "Rider", "Uniform", "SDA", and "Drawback".
- Submission ID:** A text input field.
- LIMS ID:** A text input field.
- First Name:** A text input field.
- Employer Name:** A text input field.
- Company ID:** A text input field.
- Company Formula #:** A text input field.
- Product/Brand Name:** A text input field.
- Commodity Type:** A dropdown menu with options: "Wine", "Malt Beverage", and "Distilled Spirits".
- Status:** A dropdown menu with options: "Assigned", "Assignment Pending", and "Cancelled". This field is highlighted with a red border.
- Source:** A dropdown menu with options: "Domestic", "Import", and "Export Only".
- AutoAudit #:** A text input field.
- Last Name:** A text input field.
- Article Code Number:** A text input field.
- Company Name:** A text input field.
- Product/Class Type:** A dropdown menu with options: "ADVOCAAT", "AGRICULTURAL/HONEY WINE/MEAD", and "AGRICULTURAL/OTHER AGRICULTURAL WINE".
- Disposition:** A dropdown menu with options: "Adverse", "Approved", and "Approved for Export Only".
- SDA/SDR Formula:** A dropdown menu with options: "SDA-3-C", "SDA-1", and "SDA-2-C".
- Date Submitted:** Two text input fields labeled "From:" and "To:".
- Date Completed:** Two text input fields labeled "From:" and "To:".

At the bottom of the form, there are two buttons: "Search" and "Clear".

2. Enter or select the search criteria in the available fields.

## How to View Submission Statuses in Formulas Online (Industry Members)

► **Note:** Press the **CTRL** key to select multiple values from the drop-down lists. Use the “%” special character to perform a wildcard search.

► **Note:** Product/Class Type changes based on the Commodity type selected. Other available search criteria displays based on the Submission Name selected.

3. Select the **Search** button. The search results display listing the submissions matching the search criteria. See Figure 6.

**Figure 6: Search for Submissions/Formulas – Search Results**



The screenshot displays the 'Formulas Online' search results interface. At the top, there is a navigation bar with 'COLAS Home Text Menu My Profile Help Contact Us Log Off'. Below this is a search bar with 'Submissions' selected and a 'Search' button. The main content area is titled 'Search Results' and shows a table of results. The table has columns for 'SUBMISSION ID', 'TTB FORMULA ID', 'COMPANY FORMULA#', 'TYPE', 'PAPER', 'SUBMISSION STATUS', 'SUBMISSION DATE', 'SUBMITTER', and 'PRODUCT'. A single entry is shown with the status 'Items Pending', which is highlighted by a red box. The page also includes a 'Showing 1 to 1 of 1 entries' message and navigation buttons for 'Previous' and 'Next'.

SUBMISSION ID	TTB FORMULA ID	COMPANY FORMULA#	TYPE	PAPER	SUBMISSION STATUS	SUBMISSION DATE	SUBMITTER	PRODUCT
1334492	1269504	OH-W-999 - 123456789	Uniform	N	Items Pending	04/24/2015	Jane Smith	POM Imitation Wine

The [statuses](#) display next to the submissions in the Submission Status column.

## How to View Submission Statuses in Formulas Online (Industry Members)

### Submission Statuses

The following available statuses display next to the submissions in the Submission Status column:

- **Drawback** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Approved for Export Only** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements for exports only.
  - **Assignment Pending** – This status indicates that the submission is awaiting assignment within the laboratory.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Correction Review** – This status indicates that the submission is being reviewed by NPL to confirm the submission needs to be returned for correction.
  - **Disapproved (Domestic)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and therefore not eligible for drawback of tax, except when use by claimant in eligible nonbeverage products.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
  - **Fit for Bev Purposes (Foreign)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and must comply with alcoholic beverage regulations if imported into the U.S. If this product is used in alcoholic beverages produced outside the U.S., it is not eligible for flavor credit under 26 USC 5010.
  - **Items Pending** – This status indicates that the physical samples (if any) have not been received by the laboratory.
  - **Lab Analysis** – This status indicates that laboratory analysis is in progress.
  - **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Needs Correction tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
  - **No Action** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that there is no

## How to View Submission Statuses in Formulas Online (Industry Members)

alcohol eligible for drawback of tax. Use is subject to compliance with U.S. Food and Drug Administration regulations.

- **QA Review** – This status indicates that the NPL determination is in review.
- **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or specialist.
- **Rider** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Approved for Export Only** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements for exports only.
  - **Assignment Pending** – This status indicates that the submission is awaiting assignment within the laboratory.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Correction Review** – This status indicates that the submission is being reviewed by NPL to confirm the submission needs to be returned for correction.
  - **Disapproved (Domestic)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and therefore not eligible for drawback of tax, except when use by claimant in eligible nonbeverage products.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
  - **Fit for Bev Purposes (Foreign)** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that the product is fit for beverage purposes and must comply with alcoholic beverage regulations if imported into the U.S. If this product is used in alcoholic beverages produced outside the U.S., it is not eligible for flavor credit under 26 USC 5010.
  - **Items Pending** – This status indicates that the physical samples (if any) have not been received by the laboratory.
  - **Lab Analysis** – This status indicates that laboratory analysis is in progress.
  - **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Needs Correction tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
  - **No Action** – This status indicates a final action regarding a particular submission. A Submission enters this status when it has been determined that there is no

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- **QA Review** – This status indicates that the NPL determination is in review.
- **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or specialist.
- **SDA** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Assignment Pending** – This status indicates that the submission is awaiting assignment within the laboratory.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Correction Review** – This status indicates that the submission is being reviewed by NPL to confirm the submission needs to be returned for correction.
  - **Disapproved** – This status indicates a final action regarding a particular submission. Submissions enter this status when the submission and the associated materials, if any, have not met all applicable requirements.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
  - **Items Pending** – This status indicates that the physical samples (if any) have not been received by the laboratory.
  - **Lab Analysis** – This status indicates that laboratory analysis is in progress.
  - **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Needs Correction tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
  - **QA Review** – This status indicates that the NPL determination is in review.
  - **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or a specialist.
- **Uniform** –
  - **Approved** – This status indicates a final action regarding a particular submission. Submissions enter this status when both the submission and the associated materials, if any, meet all applicable requirements.
  - **Assigned** – This status indicates that the submission has been assigned to an ALFD specialist for evaluation.
  - **Assignment Pending** – This status indicates that the samples have been received by the laboratory and the submission is awaiting assignment within the laboratory.
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.

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- **Closed** – This status indicates that the submission processing has been completed and a determination has been made.
- **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
- **Expired** – This status indicates that the imported formula older than five years (if approved prior to 10/1/2012) or ten years (if approved on or after 10/1/2012) was expired by the system.
- **Hold for Research** – This status indicates that the submission is being temporarily held by ALFD for further research.
- **Items Pending** – This status indicates that the physical samples (if any) have not been received by the laboratory.
- **Lab Analysis** – This status indicates that laboratory analysis of the physical sample is in progress.
- **Needs Correction** – This status indicates that the submission has been reviewed by TTB but cannot be approved as submitted. The submission is returned to the submitter with a list of corrections in the Needs Correction tab of the electronic submission that need to be made either to the submission or to the supporting materials (documents, samples, etc.). The submitter has a certain number of days to make the corrections. If the submission and/or supporting materials are not returned to TTB within the specified period, the submission status changes to “Cancelled.” Submissions in the “Needs Correction” status may also be “Withdrawn” by the submitter.
- **Pending Closed** – This status indicates that a determination by ALFD is being finalized.
- **QA Review** – This status indicates that the ALFD determination is in review.
- **Received** – This status indicates that the submission has been received by ALFD but has not yet been assigned to an ALFD specialist.
- **Rejected** – This status indicates a final action regarding a particular submission. Submissions enter this status when the submission and the associated materials, if any, have not met all applicable requirements or a submission was not required.
- **Revoked** – This status indicates that an approved formula in “Closed” status was revoked by TTB.
- **Surrendered** – This status indicates that an approved formula in “Closed” status was surrendered by the Submitter.
- **Withdrawn** – This status indicates that a received submission has been withdrawn by the submitter or a specialist.
- **User Registration** –
  - **Cancelled** – This status indicates that the submission and/or supporting materials were not returned to TTB within the specified period and the submission is “Cancelled” by the system or the submission was cancelled by the submitter.
  - **Closed** – This status indicates that the submission processing and follow-up have been completed.
  - **Draft** – This status indicates that the submission is in progress (is created, but has not yet been properly submitted).
  - **In Process** – This status indicates that the submission has been submitted to TTB and is being evaluated.



### **How to View Submission Statuses in Formulas Online (Industry Members)**

- **Withdrawn** – This status indicates that an in-process submission has been withdrawn by the submitter or specialist.