

**DEPARTMENT OF THE TREASURY  
ALCOHOL AND TOBACCO TAX AND TRADE BUREAU**

**STATEMENT OF ULTIMATE VENDOR**

*(For use in claiming credit or refund of overpayment determined under section 6416(b)(2) of the internal Revenue Code.)*

The undersigned or the

*(Name of ultimate vendor if other than undersigned)*

of which the undersigned is \_\_\_\_\_, is the ultimate vendor of the article specified below or on the reverse side hereof. The article was purchased by the ultimate vendor tax-paid and was thereafter exported, used, sold, or resold (as indicated below or on the reverse side hereof). The ultimate vendor possesses

*(Proof of exportation in respect of the article, or a certificate as to use executed by the ultimate purchaser of the article.)*

The \_\_\_\_\_  
*(Proof of exportation or certificate)*

(1) is retained by the ultimate vendor, (2) will, upon request, be forwarded to \_\_\_\_\_ at any time within 3 years from the date

*(Name of person who paid tax)*

of this statement for use by that person to establish that credit or refund is due in respect of the article, and (3) will otherwise be held by the ultimate vendor for the required 3-year period. According to the best knowledge and belief of the undersigned, no statement in respect of the \_\_\_\_\_

*(Proof of exportation or certificate)*

has previously been executed, and the undersigned understands that the fraudulent use of this statement may subject the undersigned or any other party making such fraudulent use to all applicable criminal penalties under the Internal Revenue Code.

|           |              |      |
|-----------|--------------|------|
| SIGNATURE | PRINTED NAME | DATE |
|-----------|--------------|------|

ADDRESS \_\_\_\_\_

| VENDOR'S INVOICE | ARTICLES | DATE OF RESALE | QUANTITY | EXPORTED OR USE MADE OR TO BE MADE (Specify) |
|------------------|----------|----------------|----------|--|
|                  |          |                |          |  |

**PAPERWORK REDUCTION ACT NOTICE**

This request is in accordance with the Paperwork Reduction Act of 1995. In some cases, persons who sell firearms or ammunition tax-free use specific statements to support the tax-free sales. In addition, a specific statement from the ultimate vendor to support claims for certain tax refunds or credits is required. This form contains all required information for a properly executed statement. This is being provided to promote uniformity among excise taxpayers and eliminate the need for taxpayers to design their own statements. The information requested is required by Title 27, Code of Federal Regulations, Part 53.

We estimate the average burden associated with this collection of information is 45 minutes per respondent or recordkeeper, depending on your individual circumstances. Address your comments concerning the accuracy of this burden estimate and suggestions to reduce this burden to: Reports Management Officer, Regulations and Rulings Division, Alcohol and Tobacco Tax and Trade Bureau, 1310 G Street, NW, Box 12, Washington, DC 20005.

TTB may not conduct or sponsor and you are not required to respond to, a collection of information unless it displays a current, valid OMB control number.