



## DEPARTMENT OF THE TREASURY

### Alcohol and Tobacco Tax and Trade Bureau

ORDER

TTB O 1135.1A

**Subject: Delegation Order - Delegation of the Administrator's Authorities in 27 CFR, Part 1, Basic Permit Requirements Under the Federal Alcohol Administration Act, Nonindustrial Use of Distilled Spirits and Wine, Bulk Sales and Bottling of Distilled Spirits**

**Date: 4/11/2016**  
**Sunset Review: 4/11/2021**

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**To: All Bureau Employees and All Interested Parties.**

**1. Purpose.**

This Order delegates certain authorities of the Administrator, Alcohol and Tobacco Tax and Trade Bureau (TTB) in the administration of the regulations contained in part 1 of title 27 of the Code of Federal Regulations (CFR), to subordinate TTB officers and prescribes the subordinate TTB officers with whom persons file documents.

**2. Cancellation.**

This Order supersedes the delegations contained in TTB O 1135.1 - Delegation Order Delegation of the Administrator's Authorities in 27 CFR Part 1, Basic Permit Requirements Under the Federal Alcohol Administration Act, Nonindustrial Use of Distilled Spirits and Wine, Bulk Sales and Bottling of Distilled Spirits, dated March 31, 2006.

**3. Authority.**

Section 1111(d) of the Homeland Security Act of 2002 established the Tax and Trade Bureau within the Department of the Treasury. Treasury Order No. 120-1 (Revised), dated December 10, 2013, designates it as the Alcohol and Tobacco Tax and Trade Bureau. It grants the Administrator full authority, powers, and duties to administer the affairs of and to perform the functions of TTB, including, without limitation, all management and administrative authorities and responsibilities similarly granted and assigned to Bureau Heads or Heads of Bureaus in Treasury Orders and Treasury Directives. In addition to section 1512(a) of the Homeland Security Act of 2002 (the

“savings clause”), this Order affirms and ratifies any action taken that is consistent with what is prescribed in this Order.

Some of these authorities have been delegated by regulation to the “appropriate TTB officer.” This delegation Order identifies the “appropriate TTB officer” for purposes of the pertinent regulations in 27 CFR part 1. We also explain in 27 CFR 1.4, that we have issued this Order to identify the specific “appropriate TTB officer” for each affected provision of the regulations.

#### **4. Delegations.**

Under the authority cited in paragraph 3, and pursuant to 26 CFR 301.7701-9, this TTB Order delegates certain authorities prescribed in 27 CFR part 1 to subordinate TTB officers, and prescribes the subordinate officers with whom required applications, notices, and reports, as applicable, are filed.

The following table identifies the “appropriate TTB officer” to whom authority has been delegated by regulation to perform certain functions or receive certain documents under 27 CFR part 1. TTB’s organizational chart (located at [http://www.ttb.gov/pdf/bureau\\_orgchart.pdf](http://www.ttb.gov/pdf/bureau_orgchart.pdf)) provides contact information for the offices named in this delegation Order. All those above in the supervisory chain to the delegated positions listed herein are automatically given the same authority as those explicitly delegated to in this Order.

**Table of Authorities**  
**27 CFR Part 1**  
**Basic Permit Requirements**  
**Under the Federal Alcohol Administration Act,**  
**Nonindustrial Use of Distilled Spirits and Wine,**  
**Bulk Sales and Bottling of Distilled Spirits**

Regulatory Section	Officer(s) Authorized to Act or Receive Documents
1.3	Director, Regulations and Rulings Division.
1.10 (Definition of Applicant)	Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center and District Director, Puerto Rico Operations, for permits in Puerto Rico.
1.24	<p>Alcohol &amp; Tobacco Tax Specialist, National Revenue Center; Tax &amp; Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol &amp; Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center and Industry Specialist, National Revenue Center, to issue any permit upon application or to amend any permit, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division.</p> <p>Alcohol &amp; Tobacco Tax &amp; Permit Technician, National Revenue Center; Alcohol &amp; Tobacco Tax Specialist, National Revenue Center; Tax &amp; Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol &amp; Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center and Industry Specialist, National Revenue Center, to issue upon application, or amend, a permit to wholesale or import, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division.</p> <p>District Director, Puerto Rico Operations, to issue a permit upon application or amend a permit (by affixing the signature of the Administrator) in Puerto Rico.</p>

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1.25	District Director, Trade Investigations Division; Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, Industry Specialist, National Revenue Center.
1.27	Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center, for a permit other than in Puerto Rico. District Director, Puerto Rico Operations, for a permit in Puerto Rico.
1.31	Director, National Revenue Center.
1.35	Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center, to issue any permit upon application or to amend any permit, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division. Alcohol & Tobacco Tax & Permit Technician, National Revenue Center; Alcohol & Tobacco Tax Specialist, Tax & Trade Specialist, Management Analyst, Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center, to issue upon application, or amend, a permit to wholesale or import, except in Puerto Rico, (by affixing the signature of the Administrator) upon the recommendation of the District Director, Trade Investigations Division. District Director, Puerto Rico Operations, to issue a permit upon application or amend a permit (by affixing the signature of the Administrator) in Puerto Rico. Deputy Assistant Administrator (Field Operations), to suspend, revoke, or annul a permit; except Director, National Revenue Center,

	to revoke permits based on failure to engage in any of the operations authorized by the permit for more than two years. Director, National Revenue Center, to deny an application for a permit.
1.42	Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center, for a permit other than in Puerto Rico for filing notice. District Director, Puerto Rico Operations, for a permit in Puerto Rico for filing a notice. District Director, Trade Investigations Division; Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center, to require supplemental data.
1.50	Deputy Assistant Administrator (Field Operations); except Director, National Revenue Center, to revoke permits based on failure to engage in any of the operations authorized by the permit for more than two years.
1.51	Deputy Assistant Administrator (Field Operations).
1.52	District Director, Trade Investigations Division.
1.55	District Director, Trade Investigations Division; Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center.
1.56(b)	Auditor; Investigator; Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center.

1.58	Auditor; Investigator; Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst, National Revenue Center; Industry Specialist, National Revenue Center.
1.59	Alcohol & Tobacco Tax Specialist, National Revenue Center; Tax & Trade Specialist, National Revenue Center; Management Analyst, National Revenue Center; Program Analyst, National Revenue Center; Alcohol & Tobacco Tax Specialist (Technical Advisor), National Revenue Center; Management and Program Analyst and Industry Specialist, National Revenue Center, National Revenue Center; District Director, Puerto Rico Operations: to make documents available.

**5. Redlegation.** These authorities may not be redelegated.

/s/ John Manfreda  
Administrator